

## PGIM FUNDS PLC

### PRIVACY STATEMENT – INVESTORS AND RELATED PARTIES

PGIM Funds plc (the “**Company**”) will collect and process the Personal Data (described below) of natural persons who are registered shareholders (“**Investors**”), applicants for shares (“**Applicants**”), beneficial owners of registered Investors and Applicants, personal representatives, financial advisors, directors, officers, employees, agents, trustees and / or authorised signatories of registered Investors and Applicants, and directors, officers, employees, agents of the Company and of the Company’s or its Investors’ service providers (“**Individuals**”) and other information relating to the dealings of Individuals with the Company and / or its service providers. This Privacy Statement explains how the Company will manage the Personal Data of Individuals, why the Company uses it, and how Individuals may contact the Company in relation to the use of Personal Data.

**Where the Company needs to process Personal Data in connection with a registered Investor’s contract with the Company or in anticipation of an Applicant becoming a registered Investor, or where the Company has a legal obligation to collect certain Personal Data relating to an Individual (for example, in order to comply with anti-money laundering and anti-terrorist financing obligations), the Company will not be able to deal with the registered Investor or Applicant if the Individual does not provide the necessary Personal Data and other information required by the Company.**

“**Personal Data**” means any information which the Company has or obtains, or which an Individual provides to the Company or the Company’s service providers, such as his / her name, address, email address, date of birth, passport information etc, from which that Individual can be directly or indirectly personally identified, and may include information such as identification and account numbers, tax identifiers and residency information, and online identifiers. Some of this Personal Data may be sensitive Personal Data, such as data revealing racial or ethnic origin, political opinions, or trade union membership (“**Sensitive Personal Data**”).

#### **Use of Personal Data and Basis of Processing**

The Company will use the Personal Data:

1. for the purposes of performing the contract with a registered Investor, or in anticipation of an Applicant becoming a registered Investor, namely:
  - (a) for the purposes of providing services to the registered Investor, and setting up and administering the Applicant’s or registered Investor’s account(s), as the case may be;
  - (b) for the collection of subscriptions and payment of redemptions, distributions and dividends;
  - (c) to deal with queries or complaints from registered Investors;
2. for compliance with the Company’s legal obligations, including:
  - (a) anti-money laundering and anti-terrorist financing (collectively “**AML**”) and fraud prevention purposes, including Office of Foreign Assets Control (OFAC) and Politically Exposed Person (PEP) screening for these purposes and to comply with United Nations (UN), European Union (“**EU**”) and other applicable sanctions regimes;
  - (b) compliance with applicable tax and regulatory reporting obligations;

- (c) where the Company is ordered to disclose information by a court with appropriate jurisdiction;
  - (d) recording of telephone calls and electronic communications in order to comply with applicable law and regulatory obligations;
3. where the use is for a legitimate purpose of the Company, including:
- (a) for day to day operational and business purposes;
  - (b) to take advice from the Company's external legal or other advisors;
  - (c) board reporting and management purposes, including quality assurance;
  - (d) in the event of a merger or proposed merger of the Company or any sub-fund of the Company or any other restructuring or reorganisation of the Company or any sub-fund of the Company or relating to the assets of the Company or any sub-fund of the Company;
  - (e) to intermediaries and counterparties with which the Company may have dealings in the ordinary course of business. These can include distributors, insurers, financial institutions, brokers, credit institutions;
4. where use or sharing is for a legitimate purpose of another company in the PGIM group of companies (the "**PGIM Group**"), or of a third party to which the Company provides the Personal Data, including:
- (a) for day to day operational and business purposes;
  - (b) investor relationship management; and
  - (c) calculation and payment by the recipient of commissions and rebates;
5. where necessary to establish, exercise or defend their legal rights or for the purpose of legal proceedings;
6. where an Individual has consented to use for a particular purpose. If an Individual gives consent for the Company to use his / her Personal Data for a particular purpose, that Individual has the right at any time to withdraw consent to the future use of his / her Personal Data for some or all of those purposes by writing to the address specified below:

PGIM Funds plc  
Second Floor, 5 Earlsfort Terrace  
Dublin  
D02 CK83  
Ireland

The Company will not disclose any Personal Data to any third party, except as outlined above and / or as follows:

- 1. to enable the Company to carry out the obligations under the contract with a registered Investor or in anticipation of an Applicant becoming a registered Investor;

2. to anyone providing a service to the Company's or the Company's agent (which may include the distributor, the investment manager and companies within their group of companies, the administrator and its or their sub-contractors, providers of services such as website hosting, data analysis, payment processing, order fulfilment, information technology and related infrastructure provision, customer service, email delivery and other services), as data processors, for the purposes of providing services to the Company and on the understanding that they will keep the Personal Data confidential;
3. where Personal Data needs to be shared with the depositary appointed to the Company, in order to enable it to discharge its legal and regulatory obligations;
4. in limited circumstances, where the administrator to the Company is subject to a separate legal obligation requiring it to act as controller of the Personal Data, including where it is required to use the Personal Data for the discharge of its own AML obligations, or where an Individual has otherwise consented to the Personal Data being shared with the administrator for specific purposes;
5. where the registered Investor or Applicant is a client of the PGIM Group, a third party financial advisor or investment manager, or a company within its or their group of companies, with such company or advisor for the purposes outlined above;
6. where the Company needs to share Personal Data with its auditors, and legal or other advisors;
7. in the event of a merger or proposed merger, any (or any proposed) transferee of, or successor in title to, the whole or any part of the Company's business, and their respective officers, employees, agents and advisers, to the extent necessary to give effect to such merger;
8. the disclosure is required by law or regulation, or court or administrative order having force of law, or is required to be made to any regulator of the Company.

The Company will not otherwise share Personal Data with any third party unless it receives the prior written consent of the relevant Individual to do so.

The privacy statement of the administrator to the Company is attached at Appendix I.

### **International Transfers**

Personal Data may be transferred outside the European Economic Area (the "EEA") in connection with administering a registered Investor's account(s) and / or in anticipation of an Applicant becoming a registered Investor, in accordance with an Individual's instructions, where an Individual has explicitly consented, and / or as otherwise required or permitted by law.

Many of the countries to which data will be transferred will be within the EEA, including but not limited to Germany, the Netherlands and Luxembourg, or will be ones which the European Commission has approved, and will have data protection laws which are the same as or broadly equivalent to those in Ireland, for example Switzerland.

However, some transfers may be to countries which do not have equivalent protections, including but not limited to the U.S., Hong Kong, Singapore and Taiwan, and in that case the Company shall use reasonable efforts to implement contractual protections for the Personal Data. While this will not always be possible where the Company is required to transfer the Information in order to comply with and perform the contract with an Investor / Applicant or where it has a legal obligation to do so, any transfers

will be done in accordance with applicable data protection laws, including through the implementation of appropriate or suitable safeguards in accordance with such applicable data protection laws.

For the avoidance of doubt, safeguards in the form of European Commission approved standard contractual clauses and binding corporate rules adopted by the PGIM Group will be implemented where Personal Data is transferred by the Company or its service providers to a relevant entity for processing.

### **Sensitive Personal Data**

The Company may, in limited circumstances, collect and process Sensitive Personal Data in connection with its obligations under applicable AML laws. Any Sensitive Personal Data will only be used and disclosed, as necessary, for such purpose. In the event Sensitive Personal Data is collected and processed, the Company will notify the relevant data subjects whose Sensitive Personal Data is being processed and provide these subjects with the legal basis on which such data is being collected and processed.

### **Third Party Providers of Information**

The Company may obtain Personal Data relating to Individuals from someone other than that Individual. This may include Personal Data relating to beneficial owners, partners, directors, officers, employees, advisors or other related persons of an Investor/Applicant or of the person providing the Personal Data. The Personal Data may be obtained from a variety of sources, such as financial advisors to Investors/Applicants, employers of Individuals, and / or direct and indirect service providers to the Company, such as vendors providing AML and sanctions-checking databases. The person providing the information will be asked to warrant that it will only do so in accordance with applicable data protection laws, and that it will ensure that before doing so, the Individuals in question are made aware of the fact that the Company will hold information relating to them and that it may use it for any of the purposes set out in this Privacy Statement, and where necessary, that it will obtain consent to the Company's use of the information. The Company may, where required under applicable law, notify those individuals that they have been provided with their Personal Data and provide a copy of this Privacy Statement to them.

This Privacy Statement does not address, and the PGIM Group is not responsible for, the privacy, information or other practices of any third parties, including any third party operating any website or service to which the services offered by the PGIM Group link. The inclusion of a link does not imply endorsement of the linked site or service by the Company or by its affiliates or the PGIM Group.

### **Recipients of the Personal Data**

In any case where the Company shares Personal Data with a third party data controller (including, as appropriate, other members of the PGIM Group and the Company's service providers), the use by that third party of the Personal Data will be subject to the third party's own privacy policies.

### **Updates to Personal Data**

The Company will use reasonable efforts to keep Personal Data up-to-date. However, each Individual will need to notify the Company without delay in the event of any change in his / her personal circumstances, or those of the others mentioned above, so that the Company can keep the Personal Data up to date.

## **Retention of Personal Data**

The Company is obliged to retain certain information to ensure accuracy, to help maintain quality of service and for legal, regulatory, fraud prevention and legitimate business purposes.

It is obliged by law to retain AML related identification and transaction records for six years from the end of the relevant investor relationship or the date of the transaction respectively.

Other information will be retained for no longer than is necessary for the purpose for which it was obtained by the Company or as required or permitted for legal, regulatory, fraud prevention and legitimate business purposes. In general, the Company (or its service providers on its behalf) will hold this information for a period of seven years, unless it is obliged to hold it for a longer period under law or applicable regulations.

The Company will also retain records of any telephone calls being made and any electronic communications for a period of five years and, where requested by the Central Bank of Ireland, for a period of up to seven years.

The criteria used to determine the retention periods operated by the Company include:

- The length of time it has an ongoing relationship with Investors;
- Whether there is a legal obligation to which the Company is subject (for example, certain laws require the retention of records of certain transactions for a certain period of time before they can be deleted); or
- Whether retention is advisable in light of the legal position of the Company or the PGIM Group (such as in regard to applicable statutes of limitations, litigation or regulatory investigations).

## **An Individual's Rights in relation to Personal Data**

An Individual may at any time request a copy of his / her Personal Data from the Company. This right can be exercised by writing to the Company at the address specified below:

PGIM Funds plc  
Second Floor, 5 Earlsfort Terrace  
Dublin  
D02 CK83  
Ireland

An Individual also has the right to correct any inaccuracies in, and in certain circumstances, to request erasure or restriction on the use of, his / her Personal Data, and to object to certain uses of his / her Personal Data, in each case subject to the restrictions set out in applicable data protection laws. For the protection of the Individual, where the Company receives a request electronically, it may only implement requests with respect to the Personal Data associated with the particular email address that the Individual uses to send us their request, and the Individual identity may need to be verified prior to implementation of the request. The Company will endeavour to comply with the Individual's request as soon as reasonably practicable.

Further information on these rights, and the circumstances in which they may arise in connection with the Company's processing of Personal Data can be obtained by writing to the Company at the address specified below:

PGIM Funds plc  
Second Floor, 5 Earlsfort Terrace  
Dublin  
D02 CK83  
Ireland

In any case where the Company is relying on an Individual's consent to process his / her Personal Data, that Individual has the right to change his / her mind and withdraw consent by writing to the address specified below:

PGIM Funds plc  
Second Floor, 5 Earlsfort Terrace  
Dublin  
D02 CK83  
Ireland

Where the Company is relying on a legitimate purpose of the Company, a member of the PGIM Group or a third party recipient of the Personal Data, in order to use and disclose Personal Data, an Individual is entitled to object to such use or disclosure of his / her Personal Data, and if he / she does so, the Company will cease to use and process the Personal Data for that purpose unless the Company can show there are compelling legitimate reasons for it to continue or it needs to use the Personal Data for the purposes of legal claims or any legal and regulatory obligations.

In limited circumstances, an Individual may also have the right to data portability in respect of certain of his / her Personal Data, which means he / she can request that the Company provide it to him / her in a structured, commonly used and machine-readable format, or transmit it to his / her third party nominee where this is technically feasible.

The Company may need to retain certain Personal Data for recordkeeping purposes and/or to complete any transactions that the Individual began prior to requesting a change or deletion. There may also be residual information that will remain within the Company or the PGIM Group's databases and other records, which will not be removed.

An Individual also has the right to lodge a complaint about the processing of his / her Personal Data by the Company with the Irish Data Protection Commissioner at [info@dataprotection.ie](mailto:info@dataprotection.ie) or with the local data protection authority in his / her home or work EU member state.

### **Contacting the Company**

Any queries or complaints regarding the use of the Personal Data by the Company and / or the exercise of individual rights should be addressed to the Company at [pgim.ucits.legal@prudential.com](mailto:pgim.ucits.legal@prudential.com).

**Last updated: 28 July 2022**

## Appendix I

### Privacy statement of the administrator to the Company

#### Global Privacy Notice

At State Street we are committed to handling your personal information or personal data (“Personal Data”) responsibly and transparently. This Global Privacy Notice (“Notice”) is intended to comply with the relevant transparency requirements under the applicable privacy or data protection laws. This Notice explains how State Street Corporation, its subsidiaries and assigns (together “we”, “our”, “us”) collect, use, share or otherwise process your Personal Data in connection with your relationship with us. The Notice applies to any Personal Data we may collect from you through our websites or applications, accessed using your device (e.g., mobile, computer) or various other offline means, such as when you attend our events, or when you otherwise interact with us as described below.

Please note in certain jurisdictions there might be exemptions to the rights we describe below pursuant to applicable privacy laws and regulations. We may amend this Notice from time to time to keep it up to date with legal requirements and the way we operate our business. Please check these pages regularly for the latest version of this Notice.

This Notice contains the following sections:

- [What Personal Data we may collect](#)
- [How we collect your Personal Data](#)
- [Sensitive Personal Data and criminal records](#)
- [How we use your Personal Data](#)
- [Marketing communications and your choices](#)
- [Cookies and online tracking](#)
- [How we share or disclose your Personal Data](#)
- [Categories of third parties to whom we may disclose your Personal Data](#)
- [No Sale of Data](#)
- [How we transfer and store your Personal Data](#)
- [How we protect your Personal Data](#)
- [How long we retain your Personal Data](#)
- [Your rights and choices](#)
- [How to exercise your rights or contact us](#)

#### What Personal Data we may collect

Subject to the laws or regulations applicable to the relevant jurisdiction, we may collect the following categories of Personal Data about you or your device:

Category	Examples
A. Identity information	first name, middle name, last name, alias, username or similar identifier, marital status, title, date of birth, gender, state or national identification number (such as a driver license or social security number), passport number, internet protocol, signature, physical characteristics or description or other similar

	identifiers. Some information included in this category may overlap with other categories.
B. Demographic information	Age, race, color, national origin, citizenship, marital status, sex (including gender).
C. Contact information	Billing address, delivery address, email address or telephone numbers.
D. Biometric information	Identifier or identifying information, such as, fingerprints, faceprints, and voiceprints, keystroke.
E. Contractual information	Information collected as part of the products and services we provide to you.
F. Commercial information	Records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies.
G. Financial information	Bank account and payment card details.
H. Internet or another similar network activity	Browsing history, search history, information on a consumer's interaction with a website, application, advertisement, time zone setting and location, browser plug-in types and versions, operating system and platform, and other technology on the devices you use to access our websites or applications.
I. Sensory data	Audio, visual, or similar information.
J. Professional or employment-related information	Education, current employment, employment history.
K. Inferences drawn from other personal information	Profile reflecting a person's household, individuals associated with your account(s), information regarding your



	relationship with these individuals, or information about your business relations with us.
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## How we collect your Personal Data

We collect your Personal Data in a variety of ways and from various sources. For example, we may collect your Personal Data:

- Through direct interactions with you, for example, when you fill out a form, send us mail or email, when you call us or in person.
- Directly from our clients or their agents. For example, from documents that our clients provide to us related to the services for which they engage us.
- Indirectly from our clients or their agents. For example, through information we collect from our clients while providing services to them.
- Directly and indirectly from activity on our websites or our mobile applications. For example, from submissions through our website or website usage details collected automatically.
- From affiliates and third party service providers (such as our marketing partners) acting on our behalf in connection with the services we perform.
- From publicly available sources, including social media, to the extent that you manifestly choose to make your profile publicly visible.
- From automated technologies or interactions that collect technical data about your equipment, browsing actions and patterns. This information is collected by using cookies, server logs or other similar technologies.

## Sensitive Personal Data and criminal records

The Personal Data that we collect from you may include sensitive Personal Data. We recognize that certain jurisdictions have enacted laws that require higher protection of certain sensitive Personal Data. Sensitive Personal Data includes categories of information identified by the applicable privacy laws as requiring special treatment or protection. This information may include, but is not limited to, racial or ethnic origin; political opinions; religious, philosophical, or other similar beliefs; membership of a trade union or profession or trade association; physical or mental health; biometric data; or sexual orientation.

We do not collect, use, share or otherwise process sensitive Personal Data or criminal records unless permitted to do so by law. For example, we may collect, use, share or otherwise process your sensitive Personal Data or criminal records to perform Know Your Customer (KYC) checks to comply with applicable Anti-Money Laundering (AML) laws.

## How we use your Personal Data

We use Personal Data for the following purposes:

- To fulfill our contractual obligations. For example, if you provide us with Personal Data to open, manage and administer your account, we will use that Personal Data for such purpose.
- To comply with a legal obligation that we have, for example where we are required to report to tax authorities, to perform KYC checks to comply with applicable AML laws or to prevent and detect financial crime.

- You have provided your consent, for example for a compatible reason as is described to you at the time of collection.
- For a purpose that is compatible with the original purpose as is described to you at the time of collection.
- To respond to law enforcement requests and as required by applicable law, court order, or governmental regulations.
- For our legitimate interest, as a commercial organization, provided our use is proportionate and respects your privacy rights. Such legitimate interests may, for example, include:
  - To provide you with information on products or services that you request from us.
  - To provide you with, email alerts, event registrations, social media activity, and other notices concerning our products or services, or events or news that may be of interest to you, including through targeted messages and advertisements on or through our websites and apps and through third-party websites and apps. For more information, see the “marketing communications and your choices” section below.
- To enforce our rights arising from any contracts entered between you or the entity you represent and us, including for billing and collections.
- To improve our website and present its contents to you in a tailored and personalized manner.
- or market analysis and product development.
- Authenticate you as an authorized user and to facilitate communications between us.
- To evaluate or conduct a merger, divestiture, restructuring, reorganization, dissolution, or other sale or transfer of some or all our assets, whether as an ongoing concern or as part of bankruptcy, liquidation, or similar proceeding, in which your information held by us is among the assets transferred.
- Monitor and record calls and electronic communications for (a) processing and verification of instructions, (b) investigation and fraud prevention purposes, (c) for crime detection, prevention, investigation and prosecution, (d) to enforce or defend our company, partners or affiliates', directly or through third parties to whom they delegate such responsibilities or rights, (e) to comply with any applicable legal obligation, (f) for quality, business analysis, training and related purposes
- To operate our business in a prudent manner in accordance with industry standards and applicable laws, which may include, monitoring and recording calls and electronic communications, responding to inquiries and requests, preventing fraud, research, to obtain advice from our advisors, as well as governance and management purposes.

If you do not provide us with your Personal Data when requested, it may prevent us from being able to carry out the tasks listed above.

### **Marketing communications and your choices**

We may use your Personal Data to market to you. Specifically, we may collect, use, or otherwise process your Personal Data and share it with our affiliates and service providers to provide you with thought leadership materials, industry information, invitations to events and webinars, and other communications or solicitations that we believe will be of interest to you. We target and tailor such communications based on your interaction with us, via mail, email, online, telephone, in-person, or through third-party partners or vendors. If you do not wish to receive this information from us, please manage your preferences by clicking on the unsubscribe link in any of our emails, letting your relationship manager know or by clicking [here](#).

### **Cookies and online tracking**

We use cookies on our websites or applications. Cookies are small files stored on a computer that are designed to hold small amounts of data specific to a user and the websites or applications to help tailor that user’s experience. For more information see our [Cookies Disclosure](#).

## **How we share or disclose your Personal Data**

We may disclose the categories of your Personal Data described above to our affiliates, service providers, and other third parties for our business purposes. When we do so, we will make sure that your Personal Data is used in a manner consistent with this notice, or enter into a contract that describes the business purpose and requires the recipient to both keep that Personal Data confidential and not use it for any purpose except performing the contract.

### **We may also use or disclose your Personal Data:**

- To regulators, government agencies, exchanges, self-regulatory organizations or law enforcement authorities.
- If we are required to do so by law or if we reasonably believe that such disclosure is necessary or appropriate to prevent physical harm or financial loss in connection with an investigation of suspected or actual illegal activity,
- When disclosure is necessary to protect our rights or to comply with a judicial or regulatory requirement or to pursue our legitimate interest or the vital interests of a person.

### **Categories of third parties to whom we may disclose your Personal Data**

We may disclose your Personal Data to the following categories of third parties:

- Our affiliates
- Service providers with whom we have contracted to perform services on our behalf
- Third parties, to whom you, your agents or the company you represent authorize us to disclose your Personal Data in connection with products or services we provide to you
- Regulators or other government agencies
- Exchanges or other self-regulatory organizations
- Law enforcement authorities
- With a successor entity in the event of a merger, acquisition or similar transaction

### **No Sale of Personal Data**

We do not sell any of your Personal Data including Personal Data of minors under the age of 16 or as defined by applicable laws or regulations.

### **How we transfer and store your Personal Data**

We operate globally and we may share some of your Personal Data, with organizations (including our affiliates and our service providers) who are outside of the jurisdiction in which the Personal Data was collected. Because we are headquartered in the United States, Personal Data collected in other countries is routinely transferred to the United States for processing. That is, Personal Data collected in one jurisdiction may be transferred, stored, and processed outside the country of origin. For these transfers, we have the relevant legal safeguards in place, including (for example) by way of contractual arrangements based on sets of standard contractual clauses that have been pre-approved by the European Commission (or otherwise consistent with the requirements of the relevant jurisdiction) to ensure adequate protection, or in certain circumstances we may rely on one of the exceptions to the

rules that allows us to perform these transfers. This reflects our commitment to protecting your personal data regardless of where your personal data resides. Personal Data stored or processed in a foreign jurisdiction may be accessed under a lawful order made in that jurisdiction.

### **How we protect your Personal Data**

We are committed to protecting the security of your Personal Data. We use reasonable technical and organization measures, in compliance with applicable law, to protect your Personal Data from unauthorized access, unlawful processing and against accidental loss, destruction or damage.

### **How long we retain your Personal Data**

We will retain your Personal Data for as long as necessary to fulfill the purpose for which it was collected, such as providing our services, or as required by applicable laws or regulations. This period may extend beyond the termination of our relationship with you.

### **Your rights and choices**

Depending on the jurisdiction, and subject to certain exceptions, you may have specific rights regarding your Personal Data. This section describes such rights and how you may exercise them.

- Access to Specific Information
  - You may have the right to request that we disclose certain information to you how we use your Personal Data. Once we receive and verify your request, we will disclose to you (depending on your request or unless an exception applies):
    - The categories of Personal Data we have collected about you.
    - The categories of sources from where the Personal Data was collected.
    - Our purpose for collecting or sharing your Personal Data.
    - The categories of third parties with whom we share your Personal Data.
    - The specific pieces of Personal Data we have collected about you.
- Where specifically required, we will provide specific pieces of Personal Data we have collected about you in a structure, commonly used or in machine-readable format, and to have it transmitted directly to another person or entity (data portability).
- Request Deletion or erasure of your Personal Data in certain circumstances.
- Request that your Personal Data be rectified where it is inaccurate or incomplete
- Request restriction or object to the processing of your Personal Data for certain circumstances (for example for marketing purposes)
- Lodge a complaint with your local data protection authority
- Withdraw your consent

If we are relying on your consent to use or share your Personal Data, you have the right to fully or partially withdraw your consent, subject to certain exceptions defined in applicable laws and regulations. Please note however that this will not affect the lawfulness of the processing before its withdrawal.

### **U.S. Consumer Privacy Notice**

Please click [here](#) access the U.S. Consumer Privacy Notice.

### **California Residents**

California residents please click here for more information.

## **Australia**

This Notice sets out how the following entities in Australia collect, hold, use, store and disclose “personal information” for the purposes of the Privacy Act 1988 (Cth):

- State Street Bank and Trust Company, Sydney Branch (ARBN 062 819 630)
- State Street Global Markets, LLC (ARBN 620 947 613)
- State Street Global Markets International Limited (ARBN 120 116 065)
- State Street GlobalLink Asia Pacific (ARBN 626 835 283)
- State Street Australia Limited (ABN 21 002 965 200)
- State Street Capital Pty Limited (ABN 87 083 100 832 )
- SS Borrowdale Pty Limited (ABN 48 151 402 292 )
- SS Scarborough Pty Limited (ABN 38 151 402 247)

Please contact us at [privacyoffice@statestreet.com](mailto:privacyoffice@statestreet.com) if you have any concerns about the accuracy, handling or retention of personal information under the Privacy Act 1988 (Cth).

## **Japan**

For other details (including authorized personal information protection organization in Japan) relating to State Street entities in Japan, please click here (English) or here (Japanese).

## **How to exercise your rights or contact us**

The primary point of contact for all issues arising from this Notice is our Chief Privacy Officer or Data Protection Officer. If you wish to exercise your rights, or have questions or comments about this Notice or about how your Personal Data is processed, please contact our Chief Privacy Officer or Data Protection Officer by email or via our website at:

- Email: [PrivacyOffice@StateStreet.com](mailto:PrivacyOffice@StateStreet.com)
- Website: <http://www.statestreet.com/contact-us.html>